



Sheet 1 of 1

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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. 1095.1195 | APPLICATION NO. 09/942,642 |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | FIRST NAMED INVENTOR Yoshiya OKAMOTO | |
| | | FILING DATE August 31, 2001 | GROUP ART UNIT 2161 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|------|------|-------|---------------|----------------|
| | AA | | | | | | |
| | AB | | | | | | |
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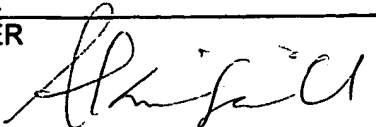
FOREIGN PATENT DOCUMENTS

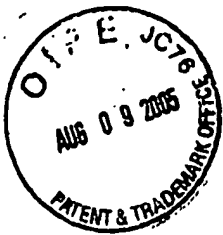
| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO | |
|-----|----|-----------------|----------|---------|-------|---------------|-----------------------|--|
| 0-A | AG | WO 98/26386 | 6/18/98 | PCT | | | | |
| 0-B | AH | 2001-319048 | 11/16/01 | Japan | | | X ¹ | |
| 0-C | AI | WO 00/21011 | 4/13/00 | PCT | | | | |
| 0-D | AJ | 10-312437 | 11/24/98 | Japan | | | X ¹ | |
| | AK | | | | | | | |
| | AL | | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AM | United Kingdom Search Report dated February 6, 2003 |
| | AN | |

X¹ Abstract

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| EXAMINER  | DATE CONSIDERED 8/15/06 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |



Sheet 1 of 1

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTORNEY DOCKET NO. | APPLICATION NO. |
| | 1095.1195 | 09/942,642 |
| | FIRST NAMED INVENTOR | |
| | Yoshiya OKAMOTO | |
| | FILING DATE | GROUP/ART UNIT |
| | August 31, 2001 | 3624 |

U.S. PATENT DOCUMENTS

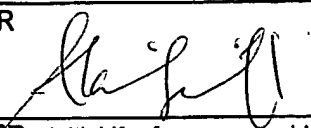
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| | AA | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | TRANSLATION YES NO | | ABSTRACT |
|------|----|-----------------|--------|---------|-----------------------|--|----------|
| 6-18 | AE | 3035622 | 1/8/97 | Japan | | | X |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | | TRANSLATION YES NO | |
|------|----|---|--|-----------------------|--|
| 6-18 | AJ | Japanese Office Action issued May 31, 2005 in corresponding application No. 2001-130838 | | X Partial | |
| 0-18 | AK | Patent Abstracts of Japan, Publication No. 07-073258, Published March 7, 1995. | | | |
| 0-18 | AL | The Center for Financial Industry Information Systems, No. 217, 1999, pp. 88-196. | | X Partial | |

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U.S. PATENT DOCUMENTS

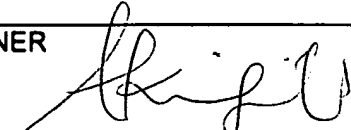
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| | AJ | | | | | | |
| | AK | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | TRANSLATION YES NO | |
|-----|----|--|-----------------------|--|
| 8-A | AL | Japanese Office Action dated September 13, 2005 in corresponding Japanese Application No. 2001-130838. | X | |
| 0-A | AM | "Quick Understanding of Microsoft Money," Stephen L. Nelson, Nikkei Bus. Pubs., November 6, 2001, 1 st Ed., cover page, pp. 82-83 and miscellaneous page. | X | |

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| LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | FIRST NAMED INVENTOR Yoshiya OKAMOTO, et al. | |
| | FILING DATE August 31, 2001 | GROUP ART UNIT |

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|------------|----|-----------------|---------|---------|-------|---------------|-----------------------|--|
| <i>2-A</i> | AG | 10149390A | 6/2/98 | Japan | | | Abst | |
| <i>0-A</i> | AH | 11015873A | 1/22/99 | Japan | | | Abst | |
| <i>2-K</i> | AI | 11102400A | 4/13/99 | Japan | | | Abst | |
| <i>0-A</i> | AJ | 11250156A | 9/17/99 | Japan | | | Abst | |
| | AK | | | | | | | |
| | AL | | | | | | | |

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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